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BAHAMAS

No. 987

THE SECOND SCHEDULE

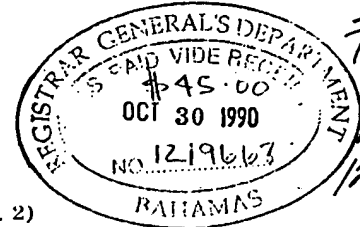
PART I

FORM No. 1

INDUSTRIAL PROPERTY ACT 1965

APPLICATION FOR PATENT

(To be accompanied by two copies of Form No. 2)



#14
12-17-92

1. I|We (a) SYNTEX (U.S.A.) INC. of 3401 Hillview Avenue, Palo Alto, California 94304, United States of America

hereby make application for a patent for the invention described in the accompanying specification (and drawing) and entitled (b) NEW TRICYCLIC COMPOUNDS

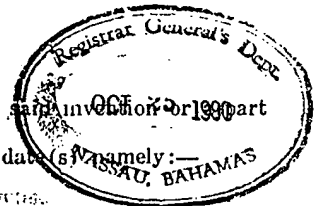
2. I|We claim to be entitled to make this application on the ground that I am|we are (I am|we are the personal representative(s) of) (c):—

- (i) the true and first inventor(s) of the invention
- (ii) the Assignee(s) of (d) Jacob Berger, Robin D. Clark, Richard M. Eglen, William L. Smith, Klaus K. Weinhardt /who claim(s) to be the true and first inventor(s) in respect of the right to make this application(s) by virtue of (f) an Assignment dated November 28, 1989
- (iii) the person(s) by whom an application for protection of the invention in a Convention country has been made as stated in paragraph 3 of this application
- (iv) the assignee(s) of the person(s) by whom an application for protection of the invention in a Convention country has been made as stated in paragraph 3 of this application

3. I|We hereby declare that (an) application(s) for protection for the said invention or part hereof has(ve) made in the following country (ies) on the following date(s) namely:—

- in (g) the United States of America on November 28, 1989 on (h)
- by (i) the inventors under U. S. Serial No. 07/442,082 under number (j)
- in (g) SYNTEX (U.S.A.) INC on (h)
- by (i) under number (j)

and that the said application or each of the said applications was the first application in a Convention country.



Commonwealth of the Bahamas
Registrar General's Department

I certify the foregoing to be a true copy of the original deposited in this office.

[Signature]
Registrar General
12.5.92

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4. I|We request that the patent may be granted with such priority of claims as is stated in the accompanying specification as an independent patent | a patent of addition to Patent No. | the patent to be granted on Application No.

5. And I|We request that all notices, requisitions and communications relating to this application may be sent to HIGGS & KELLY at (k) 324 Bay Street, P.O. Box N-1113 Nassau, BAHAMAS who is|are hereby appointed to act for me|us.

Dated this 27th day of September 1990

By Herwig von Morze
SYNTEX (U.S.A.) INC.
HERWIG VON MORZE
DIRECTOR, INTERNATIONAL PATENT OPERATIONS
(AUTHORIZED SIGNING)

Signature(s) (I)

Declaration to be signed by any person named as inventor who is not an applicant.

I|WE assent to the making of this application.

List of documents accompanying this application

N.B. Delete where not applicable or required.

To the Registrar General,
Industrial Property Department,
Office of the Registrar General,
Nassau,
Bahamas.

EXPLANATORY NOTES

- (a) Insert in full, name, address and nationality of applicant(s).
- (b) Insert title of invention.
- (c) Delete what is inapplicable.
- (d) Here state the name.
- (e) The form of consent set out below must be signed or a consent in similar terms filed within three months |Rule 9(2)|.
- (f) Insert particulars of assignment or other document.
- (g) Insert the name of the Convention country in which the first application was made.
- (h) Insert the official date of the first application in a Convention country.
- (i) Insert name of applicant(s) and |if not included at (a)| address and nationality.
- (j) Insert number of application in the Convention country.
- (k) The address must be within the Bahama Islands.
- (l) To be signed by applicant or applicants.

INDUSTRIAL PROPERTY ACT - 1965

DECLARATION TO BE SIGNED BY ANY PERSON NAMED AS INVENTOR WHO IS NOT AN APPLICANT

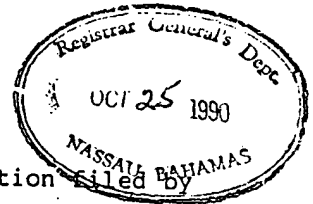
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IN THE MATTER OF PATENT APPLICATION NO.

- WE, 1) Jacob Berger, 12135 Dawn Lane, Los Altos Hills, California 94022
- 2) Robin D. Clark, 114 Lowell Avenue, Palo Alto, California 94301
- 3) Richard M. Eglen, 2091 Sunnyview Lane, Mountain View, California 94040
- 4) William L. Smith, 1169 Sesame Drive, Sunnyvale, California 94087
- 5) Klaus K. Weinhardt, 155 Jackson, San Francisco, California 94111

1) chemist; 2) chemist; 3) ^{PME}chemist; 4) pharmacologist; 5) chemist
 pharmacologist
 being the Inventors of an invention entitled:

NEW TRICYCLIC COMPOUNDS



referred to in the above numbered Application
 , with the Registrar General,
 Industrial Property Office, Nassau, Bahamas on the
 day of A.D., 19 , HEREBY ASSENT to
 the making of the said Application.

DATED this 27th day of September A.D., 19 90

Jacob Berger
 Jacob Berger

Robin D. Clark
 Robin D. Clark

Richard M. Eglen
 Richard M. Eglen

William L. Smith 20 Oct. 1990
 William L. Smith

TO: Klaus K. Weinhardt
 Klaus K. Weinhardt

The Registrar General,
 Industrial Property Office,
 NASSAU, BAHAMAS.